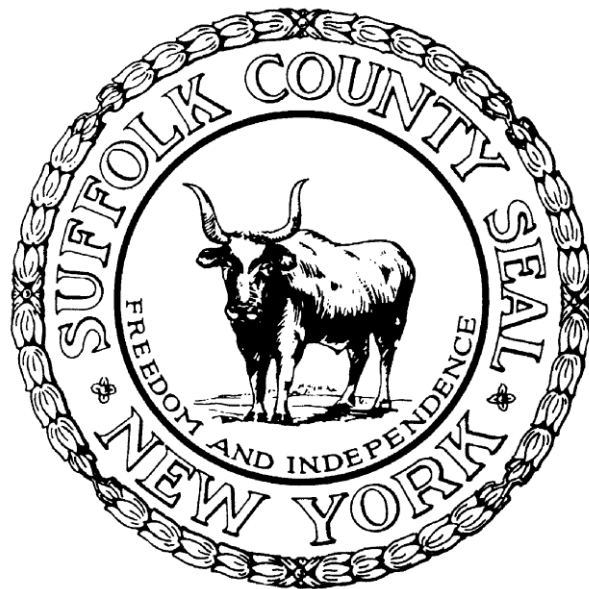


SUFFOLK COUNTY CONSORTIUM
CONSOLIDATED ANNUAL PERFORMANCE AND
EVALUATION REPORT

PROGRAM YEAR 2016



Prepared by
Suffolk County Community Development Office
August 2017

CAPER

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CR-05 - Goals and Outcomes

Progress the jurisdiction has made in carrying out its strategic plan and its action plan. 91.520(a)

This could be an overview that includes major initiatives and highlights that were proposed and executed throughout the program year.

Suffolk County is reporting on Consortium activities for the Program Year 2016. This marks the conclusion of our second year of the 2015 - 2019 Consolidated Plan as well. The County continues to make progress toward its overall goals of increasing the supply of affordable owner and renter occupied housing through implementation of various strategies. We are utilizing HOME and CDBG funds to promote affordability. Our Down-Payment Assistance Program has made an impact toward increasing homeownership numbers. HOME funds are also utilized to create new affordable rental opportunities. Municipal Home Improvement Programs have increased affordability by assisting homeowners with home maintenance, and acquisition and new construction has resulted in creation of multiple new affordable units. Numbers reported below compare the Consortiums estimated figures for the cumulative 4 year period and are compared against the second year accomplishments.

Comparison of the proposed versus actual outcomes for each outcome measure submitted with the consolidated plan and explain, if applicable, why progress was not made toward meeting goals and objectives. 91.520(g)

Categories, priority levels, funding sources and amounts, outcomes/objectives, goal outcome indicators, units of measure, targets, actual outcomes/outputs, and percentage completed for each of the grantee's program year goals.

Goal	Category	Source / Amount	Indicator	Unit of Measure	Expected – Strategic Plan	Actual – Strategic Plan	Percent Complete	Expected – Program Year	Actual – Program Year	Percent Complete
ADMINISTRATION & FAIR HOUSING	Administrative functions & Fair Housing activities	CDBG: \$ / HOME: \$ / ESG: \$	Other	Other	107195	617415	575.97%	1	1	100.00%
HOMELESS HOUSING	Homeless	ESG: \$	Tenant-based rental assistance / Rapid Rehousing	Households Assisted	60	46	76.67%	35	46	131.43%
HOMELESS HOUSING	Homeless	ESG: \$	Homeless Person Overnight Shelter	Persons Assisted	330	479	145.15%	330	479	145.15%
HOMELESS HOUSING	Homeless	ESG: \$	Homelessness Prevention	Persons Assisted	1330	572	43.01%	70	572	817.14%
HOUSING	Affordable Housing	CDBG: \$ / HOME: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	120	0	0.00%			
HOUSING	Affordable Housing	CDBG: \$ / HOME: \$	Rental units constructed	Household Housing Unit	0	2		10	2	20.00%
HOUSING	Affordable Housing	CDBG: \$ / HOME: \$	Rental units rehabilitated	Household Housing Unit	0	5		18	5	27.78%

HOUSING	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Added	Household Housing Unit	0	7		9	7	77.78%
HOUSING	Affordable Housing	CDBG: \$ / HOME: \$	Homeowner Housing Rehabilitated	Household Housing Unit	250	36	14.40%	33	36	109.09%
HOUSING	Affordable Housing	CDBG: \$ / HOME: \$	Direct Financial Assistance to Homebuyers	Households Assisted	180	37	20.56%	28	37	132.14%
HOUSING	Affordable Housing	CDBG: \$ / HOME: \$	Housing Code Enforcement/Foreclosed Property Care	Household Housing Unit	600	450	75.00%	450	450	100.00%
PUBLIC IMPROVEMENTS & FACILITIES	Public Housing Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities other than Low/Moderate Income Housing Benefit	Persons Assisted	237725	130235	54.78%	95067	130235	136.99%

PUBLIC IMPROVEMENTS & FACILITIES	Public Housing Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$	Public Facility or Infrastructure Activities for Low/Moderate Income Housing Benefit	Households Assisted	0	155		145	155	106.90%
PUBLIC IMPROVEMENTS & FACILITIES	Public Housing Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$	Buildings Demolished	Buildings	0	2		0	2	
PUBLIC SERVICES	Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$	Public service activities other than Low/Moderate Income Housing Benefit	Persons Assisted	47545	7525	15.83%	4105	7525	183.31%
PUBLIC SERVICES	Homeless Non-Homeless Special Needs Non-Housing Community Development	CDBG: \$	Public service activities for Low/Moderate Income Housing Benefit	Households Assisted	0	225		435	225	51.72%

PUBLIC SERVICES	Homeless Non- Homeless Special Needs Non-Housing Community Development	CDBG: \$	Homeless Person Overnight Shelter	Persons Assisted	0	479		550	479	87.09%
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Table 1 - Accomplishments – Program Year & Strategic Plan to Date

Assess how the jurisdiction’s use of funds, particularly CDBG, addresses the priorities and specific objectives identified in the plan, giving special attention to the highest priority activities identified.

CDBG funds are consistently utilized to fund priority activities related to housing preservation and affordability. The largest portion of The Consortium's 2016 CDBG funds was allocated to housing affordability activities in the aggregate amount of \$1,023,356 or 35.08%. Activities to preserve and promote affordability in 2016 were: Four (4) municipal home improvement programs, a code enforcement program, a senior citizen home chore program, a public water and well installation program as well as housing education programs. These are well established programs that have been in existence for many years and have proven successful. These activities address the County's stated goals of: preservation of rental/workforce housing, increasing the numbers of moderate income homeowners and supporting the preservation of existing owner occupied affordable housing. The homebuyer education program that is administered by the Bellport Hagerman East Patchogue Alliance is committed to assisting renters and owners in the Bellport/East Patchogue communities retain and expand their housing choices through education, advocacy and empowerment.

CR-10 - Racial and Ethnic composition of families assisted

Describe the families assisted (including the racial and ethnic status of families assisted).

91.520(a)

	CDBG	HOME	ESG
White	71,104	109	0
Black or African American	1,675	58	0
Asian	66	3	0
American Indian or American Native	15	0	0
Native Hawaiian or Other Pacific Islander	6	0	0
Total	72,866	170	0
Hispanic	1,578	34	0
Not Hispanic	76,577	136	0

Table 2 – Table of assistance to racial and ethnic populations by source of funds

Narrative

The Census Bureau reports that as of 2013 the estimate of the Suffolk County minority populations is:

- 8.1% African American
- 3.8% Asian
- 17.7% Hispanic

CDBG:

The minority families assisted with CDBG funds in PY 2016 are:

- 22.9% African American,
- 0.09% Asian
- 20.1% Hispanic

HOME:

The minority families assisted with HOME funds are;

- 34.1% African American
- 1.7% Asian
- 20% Hispanic

ESG: *This information is reported elsewhere in the report*

CR-15 - Resources and Investments 91.520(a)

Identify the resources made available

Source of Funds	Source	Resources Made Available	Amount Expended During Program Year
CDBG	CDBG	2,917,444	2,593,134
HOME	HOME	1,178,091	1,472,451
HOPWA	HOPWA		
ESG	ESG	265,013	317,283
Other	Other		

Table 3 - Resources Made Available

Narrative

Prior to program year 2015 the method used for expenditures was to draw down any outstanding balances from prior years' entitlement awards. When those funds were spent down to a zero balance the 2015 award would be drawn against. The above figures represent the actual award for the 2016 program year (Resources Made Available) and the actual amount expended during the 2016 Program Year time frame (4/1/16 - 3/31/17). In the case of CDBG and HOME the latter figures exceeds the resources because additional funds were available from prior years. CDBG, HOME and ESG are all public-federal sources that were made available through the HUD 2015 entitlement award: CDBG \$2,917,444. HOME \$1,178,091. ESG \$265,013

Identify the geographic distribution and location of investments

Target Area	Planned Percentage of Allocation	Actual Percentage of Allocation	Narrative Description
GORDON HEIGHTS	8	4	Public improvements and services totaling \$58,280 of \$1,627,000 allocated to Brookhaven
MASTIC	7	9	Public improvements and services totaling \$142,161 of \$1,627,000 allocated to Brookhaven
MASTIC BEACH	3	30	Public improvements and services totaling \$502,856 of \$1,627,000 allocated to Brookhaven
NORTH BELLPORT	7	4	Public improvements and services totaling \$70,000 of \$1,627,000 allocated to Brookhaven

PATCHOGUE VILLAGE	3	296	Public improvements and services totaling \$340,846 of \$115,000 allocated to Patchogue
RIVERHEAD	3	85	Public services and improvements of \$165,143 of \$195,000 allocated to the Town of Riverhead
RIVERSIDE/FLANDERS	1	196	Public services and improvements of \$181,569 of \$92,500 allocated to the Town of Southampton
SHIRLEY TARGET AREA	2	4	Public improvements and services totaling \$58,408 of \$1,627,000 allocated to Brookhaven

Table 4 – Identify the geographic distribution and location of investments

Narrative

For the purposes of implementing community development activities in a comprehensive manner, Suffolk County has identified low and moderate income neighborhood census areas to designate as target areas. The neighborhoods identified above contain within their boundaries a low and moderate uncapped income population of 51% or higher. Encompassed within the Town of Brookhaven are the neighborhoods of: N. Bellport, Mastic, Shirley, Mastic Beach and Gordon Heights. The planned percentages reflect the portion of the Brookhaven 2016 CDBG allocation of \$1,627,000 that was earmarked to these communities for housing, public services and public improvement activities. The Town of Riverhead anticipated utilizing 3% of its allocated resources on housing, public facilities and public services and actually expended 85% of its funding for said purposes. The Town of Southampton had allocated 1% of its resources in the target area of Riverside and Flanders for said activities and was able to achieve a much higher number of 196 percent. As described above, expenditures exceeded the resources because additional funds were available from prior years.

Leveraging

Explain how federal funds leveraged additional resources (private, state and local funds), including a description of how matching requirements were satisfied, as well as how any publicly owned land or property located within the jurisdiction that were used to address the needs identified in the plan.

Federal funds are leveraged with direct County funds provided through the issuance of Capital Bonds, through County provision of land contributions, provision of expedited review by County departments as necessary for housing construction and through provision of New York State funds as applicable. The HOME program has carried a balance of unobligated matching funds for many years. Matching funds are credited through the use of private investments, home owner down payments, state homebuyer assistance grants and closing cost assistance grants through private lenders. Suffolk County ends the 2016 Program Year with a surplus of \$12,076,967 which will carry over to 2017.

Fiscal Year Summary – HOME Match	
1. Excess match from prior Federal fiscal year	12,240,472
2. Match contributed during current Federal fiscal year	189,624
3. Total match available for current Federal fiscal year (Line 1 plus Line 2)	12,430,096
4. Match liability for current Federal fiscal year	353,130
5. Excess match carried over to next Federal fiscal year (Line 3 minus Line 4)	12,076,967

Table 5 – Fiscal Year Summary - HOME Match Report

Match Contribution for the Federal Fiscal Year								
Project No. or Other ID	Date of Contribution	Cash (non-Federal sources)	Foregone Taxes, Fees, Charges	Appraised Land/Real Property	Required Infrastructure	Site Preparation, Construction Materials, Donated labor	Bond Financing	Total Match
4723	05/25/2016	42,500	0	0	0	0	0	42,500
4733	06/30/2016	18,000	0	0	0	0	0	18,000
4752	10/05/2016	40,125	0	0	0	0	0	40,125
4758	11/23/2016	46,814	0	0	0	0	0	46,814
4762	01/05/2017	20,000	0	0	0	0	0	20,000
4776	01/27/2017	22,185	0	0	0	0	0	22,185

Table 6 – Match Contribution for the Federal Fiscal Year

HOME MBE/WBE report

Program Income – Enter the program amounts for the reporting period				
Balance on hand at beginning of reporting period \$	Amount received during reporting period \$	Total amount expended during reporting period \$	Amount expended for TBRA \$	Balance on hand at end of reporting period \$
0	0	0	0	0

Table 7 – Program Income

Minority Business Enterprises and Women Business Enterprises – Indicate the number and dollar value of contracts for HOME projects completed during the reporting period

	Total	Minority Business Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Contracts						
Dollar Amount	0	0	0	0	0	0
Number	0	0	0	0	0	0
Sub-Contracts						
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0
	Total	Women Business Enterprises	Male			
Contracts						
Dollar Amount	0	0	0			
Number	0	0	0			
Sub-Contracts						
Number	0	0	0			
Dollar Amount	0	0	0			

Table 8 - Minority Business and Women Business Enterprises

Minority Owners of Rental Property – Indicate the number of HOME assisted rental property owners and the total amount of HOME funds in these rental properties assisted

	Total	Minority Property Owners				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Dollar Amount	0	0	0	0	0	0

Table 9 – Minority Owners of Rental Property

Relocation and Real Property Acquisition – Indicate the number of persons displaced, the cost of relocation payments, the number of parcels acquired, and the cost of acquisition

Parcels Acquired		0	0			
Businesses Displaced		0	0			
Nonprofit Organizations Displaced		0	0			
Households Temporarily Relocated, not Displaced		0	0			
Households Displaced	Total	Minority Property Enterprises				White Non-Hispanic
		Alaskan Native or American Indian	Asian or Pacific Islander	Black Non-Hispanic	Hispanic	
Number	0	0	0	0	0	0
Cost	0	0	0	0	0	0

Table 10 – Relocation and Real Property Acquisition

CR-20 - Affordable Housing 91.520(b)

Evaluation of the jurisdiction's progress in providing affordable housing, including the number and types of families served, the number of extremely low-income, low-income, moderate-income, and middle-income persons served.

	One-Year Goal	Actual
Number of Homeless households to be provided affordable housing units	0	0
Number of Non-Homeless households to be provided affordable housing units	0	80
Number of Special-Needs households to be provided affordable housing units	0	0
Total	0	80

Table 11 – Number of Households

	One-Year Goal	Actual
Number of households supported through Rental Assistance	0	0
Number of households supported through The Production of New Units	0	28
Number of households supported through Rehab of Existing Units	0	23
Number of households supported through Acquisition of Existing Units	0	39
Total	0	90

Table 12 – Number of Households Supported

Discuss the difference between goals and outcomes and problems encountered in meeting these goals.

Affordable housing units are consistently at the top of the County's priorities, as this is one of the most prevalent challenges in the region. The production of new units is achieved with the use HOME program funds for both ownership and rental options. Our HOME program has ranked #1 for overall performance, in the state, for 2012, 2013, and 2014. When we talk about homeless persons obtaining affordable units, the challenge increases exponentially. Homeless persons oftentimes do not have a stable employment and credit history and may face personal challenges such as mental health

issues, domestic violence, drug and alcohol addictions. While a large portion of the homeless clients served are also considered to have special needs we do not make a distinction for this population when offering affordable housing units. We make all affordable housing units available to both homeless and special needs populations.

Discuss how these outcomes will impact future annual action plans.

The County has been implementing a program to address the housing needs of our veterans, and has been contributing County owned land for redevelopment by nonprofit entities for single family and shared housing facilities for our homeless veterans.

Each affordable unit is made available to special needs persons as well as veterans.

Include the number of extremely low-income, low-income, and moderate-income persons served by each activity where information on income by family size is required to determine the eligibility of the activity.

Number of Households Served	CDBG Actual	HOME Actual
Extremely Low-income	59	3
Low-income	15	20
Moderate-income	4	38
Total	78	61

Table 13 – Number of Households Served

Narrative Information

CDBG housing dollars are utilized in our Consortium-wide Home Improvement Programs (HIP). These programs assist homeowners in maintaining their homes and thereby preserving affordability. Brookhaven, Riverhead, Smithtown and Southampton administer HIP programs and have served a cumulative total of 78 households.

HOME Down Payment Assistance funding targets households primarily at the point at which they are purchasing their first home. Given the high cost of housing on Long Island in general, and Suffolk County specifically, it is not surprising that the majority of persons served with HOME funds for homeownership are by necessity in the moderate income category. Higher incomes are critical to meet the region's housing costs. Program guidelines mandate that those households that exceed 80% of the area median income do not meet eligibility requirements.

The HOME Down Payment Assistance Program assisted 38 households in becoming homeowners while 23 newly constructed units were made available to low and moderate income residents. HOME funding for rental projects are typically utilized by renter households at 60% of area median income or below.

CR-25 - Homeless and Other Special Needs 91.220(d, e); 91.320(d, e); 91.520(c)
Evaluate the jurisdiction's progress in meeting its specific objectives for reducing and ending homelessness through:

Reaching out to homeless persons (especially unsheltered persons) and assessing their individual needs

Suffolk County utilizes Emergency Solutions Grant (ESG) funding allocations each year, to meet the needs of the homeless population. This is largely accomplished through participation in the the Continuum of Care (CoC) group that is administered by the Nassau/Suffolk Coalition for the Homeless (NY-603). The 2016 ESG funding allocation was \$265,013.

The County prioritizes homeless prevention as our best strategy to end homelessness. By reaching out to those most at risk, we have been able to fund programs that provide funding for rental and utility arrears, advocacy, case management and financial literacy. Our Shelter and Street Outreach Programs to serve the unsheltered continue to make progress primarily through provision of overnight beds, meals, transportation and service referrals. A portion of both ESG and CDBG allocations support overnight sheltering, domestic violence shelter operations, counseling and supportive services to reduce recidivism. The Annual Point in Time (PIT) report indicates that Suffolk County homeless numbers are increasing among all sub-populations except veterans.

Each year, in January, the Nassau Suffolk Continuum of Care conducts its street outreach Point in Time count. Volunteers are trained to engage unsheltered homeless persons and obtain statistical information as well as provide resources for sheltering. This year the volunteers distributed personal hygiene items such as toothbrushes, toothpaste, deoderant, soap and shampoo. The PIT count is recognized as a valuable assessment tool.

Addressing the emergency shelter and transitional housing needs of homeless persons

The County has an established referral sytem in place that originates at our Department of Social Services. The trained staff are able to identify appropriate housing and shelter placements for those identified as in need of emergency or transitional housing. Additionally, the CoC group maintains a real time list of available beds and identifies the type of facility (i.e. mental illness, family, single, veterans etc.) that has vacancies.

Helping low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families and those who are: likely to become homeless after

being discharged from publicly funded institutions and systems of care (such as health care facilities, mental health facilities, foster care and other youth facilities, and corrections programs and institutions); and, receiving assistance from public or private agencies that address housing, health, social services, employment, education, or youth needs

Homeless prevention is a priority for Suffolk County. As discussed previously, the ESG grant is the primary funding source for prevention activities and organizations are increasingly developing outreach programs that assist those most at risk. The challenge that Suffolk County faces, is the need for more affordable housing choices, especially rental housing for the low and extremely low income households. Program funds that were awarded in 2011, 2012 and 2013 under the ESG allocation and subsequently awarded to Nassau/Suffolk Law Services for Homeless Prevention activities needed to be reallocated to other nonprofit agencies. We are working closely with Hope House, The Clubhouse and The Retreat to expend the funds appropriately and timely. These agencies work with homeless men, mentally ill and victims of domestic violence respectively .

Rather than providing assistance with legal representation at the time of eviction, when often times the housing is no longer affordable or sustainable, we have refocused attention on prevention well before eviction. The agencies cited have worked with landlords, provided interventions, and utilized funds for rental and utility arrears to prevent homelessness. Hope House is in the process of putting a program together that will convert a house that they own to permanent shared housing with a live-in supervisor/tenant for three to four graduates of their programs with extremely low incomes. By pooling resources it is expected that these men will be able to sustain permanent housing. We would like to see if this model is successful and if so, if can be replicated.

Beginning in 2014 the County made a commitment to assist our veterans with ESG dollars and we have been working with the nonprofit, Suffolk County United Veterans, to provide interventions that prevent homelessness. In addition, in 2015 we implemented a new program to address homelessness of veterans by donating County owned property for redevelopment to transitional and permanent housing.

The County also utilizes HOME and CDBG funds to promote the increased inventory of both rental and owner housing.

Helping homeless persons (especially chronically homeless individuals and families, families with children, veterans and their families, and unaccompanied youth) make the transition to permanent housing and independent living, including shortening the period of time that individuals and families experience homelessness, facilitating access for homeless individuals and families to affordable housing units, and preventing individuals and families who were recently homeless from becoming homeless again

Chronically homeless are the most difficult population to assist, as they typically have many more issues than only a lack of housing. Often times veterans, families and chronically homeless individuals are dealing with challenges that may include Post Traumatic Stress Disorder (PTSD), domestic violence, mental illness, drug addiction and unemployment.

While the "housing first" model is understood to be the preferred approach to limiting the length and expense of homelessness it does not necessarily guarantee success in permanent housing. Homeless and transitional housing providers are increasingly offering intensive "after-care" case management services, following households into permanent housing and offering ongoing assistance to help with the transition. It is anticipated that this approach will reduce homeless recidivism rates.

CR-30 - Public Housing 91.220(h); 91.320(j)

Actions taken to address the needs of public housing

Suffolk County does not have any public housing agencies.

Actions taken to encourage public housing residents to become more involved in management and participate in homeownership

Not applicable

Actions taken to provide assistance to troubled PHAs

Not applicable

CR-35 - Other Actions 91.220(j)-(k); 91.320(i)-(j)

Actions taken to remove or ameliorate the negative effects of public policies that serve as barriers to affordable housing such as land use controls, tax policies affecting land, zoning ordinances, building codes, fees and charges, growth limitations, and policies affecting the return on residential investment. 91.220 (j); 91.320 (i)

To alleviate the high cost of land as a deterrent to the construction or renovation of property for affordable housing, Suffolk County has implemented a program whereby surplus tax defaulted County-owned property is made available for affordable housing programs. Through this program, the County provides local municipalities and nonprofit agencies with surplus County land. In return for the surplus land, a municipality or nonprofit agency must construct new affordable housing units on the site or renovate an existing home for low and moderate income households.

The County has also created the Suffolk County Affordable Housing Opportunities Program which provides County funds for the acquisition of land and infrastructure improvements to assist with the construction of affordable and workforce housing. Additionally, the County has implemented a policy to endeavor not to fund any projects that contain a local preference as a qualifier.

In addition to the Long Island Workforce Housing Act, municipalities in Suffolk County have established density bonus programs for affordable housing. Under these programs, developers are permitted to construct additional units on a site, provided that a percentage of the units are set aside for affordable housing purposes, or equivalent funds be placed into a housing trust fund for affordable housing development.

The County continues to explore new technologies for sewage treatment plants that will allow a less costly but environmentally safe method to increase densities for affordable housing. Additionally Suffolk County has implemented an expedited review process for housing developments that provide affordable units. Under this program, a project receives priority review by County agencies and local governments, thus expediting the normal levels of review. The savings in time, to process and review a project, is passed along to the future homeowner in the form of a lower priced home.

Another program to reduce barriers is the Transfer of Development Rights Program. Under this program, land acquired for preservation will have the development rights transferred to other buildable sites to provide increased density for workforce housing.

Suffolk County also provides funding for down payment assistance, new construction of owner and rental units and rehabilitation of existing housing as further policies and programs to reduce the barriers for the creation and preservation of affordable housing. The County will also be providing a tenant based rental assistance program in an effort to promote and preserve rental housing.

Actions taken to address obstacles to meeting underserved needs. 91.220(k); 91.320(j)

- acquiring, constructing and rehabilitating both rental and homeownership affordable housing identifying County owned land for the development of permanent supportive-housing supporting non-profits in State and Federal funding applications utilizing State and Federal funding in affordable housing development increasing the number of first time homebuyers through the down payment assistance program, first time homebuyer education, financial literacy coursework, and coordination of services with non-profits and housing developers providing counseling services for at risk households coordinating mental health and housing services through a central housing clearing house by fully utilizing our Continuum of Care group funding programs that utilize the Single Point of Access (SPA) model to achieve service linkages to special needs population expanding participation in the region's HMIS system to more readily access homeless beds and services preventing homelessness by supporting generic affordable housing models through inclusive zoning, public awareness, education and technical assistance preserving existing housing through Home Improvement grants and loans as well as code enforcement programs that encourage the elimination of sub-standard housing
- providing financial assistance to prevent homelessness by paying rental arrearages and
- providing legal assistance in eviction court
- housing our homeless veterans

Actions taken to reduce lead-based paint hazards. 91.220(k); 91.320(j)

see above

Actions taken to reduce the number of poverty-level families. 91.220(k); 91.320(j)

The programs administered through Suffolk County for affordable housing are also designed to be coordinated with other agencies engaged in programs to reduce the number of persons below the poverty line.

Programs that foster financial independence are currently being administered by Consortium housing agencies. These are programs that will help in reducing the number of households below the poverty line.

The Consortium CDBG program will continue to target public services to support these efforts. The 2016 Action Plan included CDBG and HOME funded housing and counseling services to very low income families by both the North Bellport Hagerman East Patchogue (BHEP) Housing Alliance and North Fork Housing Alliance. These two non-profits also carry out non-CDBG funded job training activities for low-income persons.

Actions taken to develop institutional structure. 91.220(k); 91.320(j)

Institutional structure is discussed below.

Actions taken to enhance coordination between public and private housing and social service agencies. 91.220(k); 91.320(j)

To produce affordable or supportive housing in Suffolk County significant coordination and public/private partnership among many housing organizations is required. Most affordable housing projects involve State, County, Town, non-profit, and private sector participation. Suffolk County supplies the land and/or subsidy, the towns and non-profits oversee a project's implementation, financial institutions provide construction and/or mortgage financing, and builders/developers perform the construction.

This institutional structure delivery system has proven to be extremely productive in developing affordable housing in Suffolk County. The County plans to continue and expand this system. Suffolk County, working in participation with local housing agencies, also provides housing assistance. The County has down payment assistance funds available for public housing and Section 8 tenants who are eligible to become homeowners.

Suffolk County and its many and varied partners have been successful in the provision of affordable housing. The County's role as coordinator and financial facilitator of programs and policies has resulted in partnerships with municipalities, non-profit and for-profit organizations that have produced affordable housing for renters, homeowners and the homeless. The Action Plan developed by Suffolk County continues to enhance this coordinated intergovernmental effort by requesting input in its preparation from housing providers, private and public service agencies, and by local municipalities.

Identify actions taken to overcome the effects of any impediments identified in the jurisdictions analysis of impediments to fair housing choice. 91.520(a)

To reduce the number of predatory loans that are made more frequently to African Americans and Hispanic residents than to White residents, the County will continue to mandate homebuyer education as a prerequisite to obtaining down payment assistance. We will also continue to work with the fair housing group, Long Island Housing Services, to educate landlords, renters, property managers and realtors about fair housing laws and best practices.

The County has recently passed legislation prohibiting discrimination based on source of income and now has the ability to pursue and prosecute those who deny housing to recipients of public assistance in any form. The County has also made a commitment to eliminate local preferences in any housing projects that have County and/or Federal funding. We continue to expand outreach efforts to impacted minorities through the advertising of HOME DPA funding in Spanish language newspapers and public homeownership events.

CR-40 - Monitoring 91.220 and 91.230

Describe the standards and procedures used to monitor activities carried out in furtherance of the plan and used to ensure long-term compliance with requirements of the programs involved, including minority business outreach and the comprehensive planning requirements

Compliance monitoring includes, but is not limited to the following:

- An organizational chart showing titles and lines of authority for all individuals involved in approving or recording financial (and other) transactions
- Written position descriptions that describe the responsibilities of all key employees
- A written policy manual specifying approval authority for financial transactions and guidelines for controlling expenditures
- Written procedures for the recording of transactions, as well as an accounting manual and a chart of accounts
- Adequate separation of duties to assure that no one individual has authority over an entire financial transaction
- Hiring policies to ensure that staff qualifications are equal to job responsibilities and that individuals hired are competent to do the job
- Control of access to accounting records, assets, blank forms, and confidential records are adequately controlled, such that only authorized persons can access them
- Procedures for regular reconciliation of its financial records, comparing its records with actual assets and liabilities of the organization
- Accounting records/source documentation
- Cost allocation plans
- Cash management procedures
- Procurement procedures
- Property control
- Annual audit
- Section 3 Compliance

Citizen Participation Plan 91.105(d); 91.115(d)

Describe the efforts to provide citizens with reasonable notice and an opportunity to

comment on performance reports.

The following advertisement will run in our regional newspaper, Newsday, to announce that the draft report is available for comment. In addition, the electronic version of the report is submitted to each participating Consortium municipality as well as non-participating municipalities such as Islip, Babylon and Huntington Towns. We also send the CAPER to nonprofit ESG participants for review as well as making the document available on the County website.

PUBLIC HEARING NOTICE

SUFFOLK COUNTY CONSORTIUM

CONSOLIDATED ANNUAL

PERFORMANCE AND EVALUATION

REPORT (CAPER)

Suffolk County is required by the U.S. Department of Housing and Urban Development (HUD) to annually submit a Consolidated Annual Performance and Evaluation Report (CAPER). This report provides an assessment of the County's progress in carrying out its five-year strategic plan and its one-year action plan for the following HUD-funded programs: the Community Development Block Grant Program (CDBG); the HOME Investment Partnerships Program (HOME); and the Emergency Solutions Grant Program (ESG). The purpose of this notice is to make the CAPER available to the public for comments prior to its submittal to HUD.

The report will be available for a 15-day comment period beginning Friday August 18, 2017 through Monday September 4, 2017, between the hours of 9:30 A.M. and 4:30 P.M. at the H. Lee Dennison Building, 2nd floor, 100 Veterans Memorial Hwy, Hauppauge, N.Y. 11788 in the Suffolk County Community Development Office and online at:
<http://www.suffolkcountyny.gov/Departments/EconomicDevelopmentandPlanning/CommunityDevelopment.aspx>

Comments on the report should be submitted in writing to the Suffolk County Community Development Department, Att: Justin Hornung, Justin.Hornung@suffolkcountyny.gov no later than 4 PM on

September 5, 2017 or presented at the public hearing which will be held on September 5, 2017 at 2:30PM in the Real Estate Conference Room, 2nd floor of the H. Lee Dennison Building, 100 Veterans Memorial Hwy, Hauppauge.

CR-45 - CDBG 91.520(c)

Specify the nature of, and reasons for, any changes in the jurisdiction's program objectives and indications of how the jurisdiction would change its programs as a result of its experiences.

The jurisdiction has not made any program objective changes.

Does this Jurisdiction have any open Brownfields Economic Development Initiative (BEDI) grants?

No

[BEDI grantees] Describe accomplishments and program outcomes during the last year.

CR-50 - HOME 91.520(d)

Include the results of on-site inspections of affordable rental housing assisted under the program to determine compliance with housing codes and other applicable regulations

Please list those projects that should have been inspected on-site this program year based upon the schedule in §92.504(d). Indicate which of these were inspected and a summary of issues that were detected during the inspection. For those that were not inspected, please indicate the reason and how you will remedy the situation.

Provide an assessment of the jurisdiction's affirmative marketing actions for HOME units. 92.351(b)

The Suffolk County HOME Program is dedicated to promoting a condition in which individuals of similar income levels in the same housing area will have available to them the same range of housing choices regardless of the individual's source of income, race, color, religion, gender or handicap.

The County undertakes promotions of the HOME Program funds and works with nonprofit housing agencies to market and advertise the availability of homes funded with HOME. For example, the advertising and marketing of the Woolworth Apartment units was undertaken by the Long Island Housing Partnership (LIHP), which has extensive experience in affirmative advertising, applications and lottery procedures. LIHP conducts special outreach by advertising in non-traditional publications targeting minorities, Hispanics, disabled and underserved populations.

Refer to IDIS reports to describe the amount and use of program income for projects, including the number of projects and owner and tenant characteristics

Describe other actions taken to foster and maintain affordable housing. 91.220(k) (STATES ONLY: Including the coordination of LIHTC with the development of affordable housing). 91.320(j)

not applicable

CR-60 - ESG 91.520(g) (ESG Recipients only)

ESG Supplement to the CAPER in *e-snaps*

For Paperwork Reduction Act

1. Recipient Information—All Recipients Complete

Basic Grant Information

Recipient Name	SUFFOLK COUNTY
Organizational DUNS Number	142753479
EIN/TIN Number	116000464
Identify the Field Office	NEW YORK
Identify CoC(s) in which the recipient or subrecipient(s) will provide ESG assistance	Islip/Babylon/Huntington/Suffolk County CoC

ESG Contact Name

Prefix	Ms
First Name	Rosemarie
Middle Name	0
Last Name	Pforr
Suffix	0
Title	Program Analyst

ESG Contact Address

Street Address 1	100 Veterans Memorial Highway
Street Address 2	PO Box 6100
City	Hauppauge
State	NY
ZIP Code	-
Phone Number	6318535711
Extension	0
Fax Number	0
Email Address	rosemarie.pforr@suffolkcountyny.gov

ESG Secondary Contact

Prefix	Mr
First Name	Jason
Last Name	Smagin
Suffix	0
Title	Acting Director of Real Estate
Phone Number	6318534836
Extension	0
Email Address	Jason.Smagin@SuffolkCountyNY.gov

2. Reporting Period—All Recipients Complete

Program Year Start Date 04/01/2016
Program Year End Date 03/31/2017

3a. Subrecipient Form – Complete one form for each subrecipient

Subrecipient or Contractor Name: SUFFOLK COUNTY

City: Hauppauge

State: NY

Zip Code: 11788, 5402

DUNS Number: 142753479

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Unit of Government

ESG Subgrant or Contract Award Amount: 19876

Subrecipient or Contractor Name: Family Service League, Inc.

City: Huntington

State: NY

Zip Code: 11743, 4516

DUNS Number: 162472252

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 19500

Subrecipient or Contractor Name: FAMILY SERVICE LEAGUE

City: Huntington

State: NY

Zip Code: 11743, 4516

DUNS Number: 162472252

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 57000

Subrecipient or Contractor Name: SUFFOLK COUNTY UNITED VETERANS

City: Riverhead

State: NY

Zip Code: 11901, 2098

DUNS Number: 132366092

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 15162

Subrecipient or Contractor Name: THE RETREAT

City: East Hampton

State: NY

Zip Code: 11937, 2584

DUNS Number: 624399473

Is subrecipient a victim services provider: Y

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 36000

Subrecipient or Contractor Name: ECONOMIC OPPORTUNITY COUNCIL OF SUFFOLK, INC.

City: Patchogue

State: NY

Zip Code: 11772, 3026

DUNS Number: 068068733

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 52000

Subrecipient or Contractor Name: Brighter Tomorrows

City: Shirley

State: NY

Zip Code: 11967, 2465

DUNS Number: 178220687

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 31500

Subrecipient or Contractor Name: Haven House/Bridges

City: Brentwood

State: NY

Zip Code: 11717, 4404

DUNS Number: 806759650

Is subrecipient a victim services provider: N

Subrecipient Organization Type: Other Non-Profit Organization

ESG Subgrant or Contract Award Amount: 4475

CR-65 - Persons Assisted

4. Persons Served

4a. Complete for Homelessness Prevention Activities

Number of Persons in Households	Total
Adults	416
Children	156
Don't Know/Refused/Other	0
Missing Information	0
Total	572

Table 16 – Household Information for Homeless Prevention Activities

4b. Complete for Rapid Re-Housing Activities

Number of Persons in Households	Total
Adults	20
Children	26
Don't Know/Refused/Other	0
Missing Information	0
Total	46

Table 17 – Household Information for Rapid Re-Housing Activities

4c. Complete for Shelter

Number of Persons in Households	Total
Adults	449
Children	30
Don't Know/Refused/Other	0
Missing Information	0
Total	479

Table 18 – Shelter Information

4d. Street Outreach

Number of Persons in Households	Total
Adults	0
Children	0
Don't Know/Refused/Other	0
Missing Information	0
Total	0

Table 19 – Household Information for Street Outreach

4e. Totals for all Persons Served with ESG

Number of Persons in Households	Total
Adults	885
Children	212
Don't Know/Refused/Other	0
Missing Information	0
Total	1,097

Table 20 – Household Information for Persons Served with ESG

5. Gender—Complete for All Activities

	Total
Male	696
Female	235
Transgender	1
Don't Know/Refused/Other	0
Missing Information	0
Total	932

Table 21 – Gender Information

6. Age—Complete for All Activities

	Total
Under 18	81
18-24	67
25 and over	770
Don't Know/Refused/Other	0
Missing Information	0
Total	918

Table 22 – Age Information

7. Special Populations Served—Complete for All Activities

Number of Persons in Households

Subpopulation	Total	Total Persons Served – Prevention	Total Persons Served – RRH	Total Persons Served in Emergency Shelters
Veterans	225	16	0	209
Victims of Domestic Violence	177	110	0	67
Elderly	67	19	0	48
HIV/AIDS	0	0	0	0
Chronically Homeless	117	0	0	117
Persons with Disabilities:				
Severely Mentally Ill	148	82	1	65
Chronic Substance Abuse	247	72	0	175
Other Disability	226	24	2	202
Total (Unduplicated if possible)	1,207	323	3	883

Table 23 – Special Population Served

CR-70 – ESG 91.520(g) - Assistance Provided and Outcomes

10. Shelter Utilization

Number of New Units - Rehabbed	0
Number of New Units - Conversion	0
Total Number of bed-nights available	8,069
Total Number of bed-nights provided	7,710
Capacity Utilization	95.55%

Table 24 – Shelter Capacity

11. Project Outcomes Data measured under the performance standards developed in consultation with the CoC(s)

Performance standards are measured by the capacity utilization of shelter providers and ability to timely expend grant allocations while reporting statistical data. When scoring applications Suffolk County is cognizant of return on investment of our ESG allocation each year. Homeless prevention dollars which would include rental and utility arrears averaged \$148 per person served. Rapid rehousing, which would include rental and utility arrears as well as short and long term rental assistance, averaged \$149 per recipient. Finally shelter operations cost \$181 per person.

CR-75 – Expenditures

11. Expenditures

11a. ESG Expenditures for Homelessness Prevention

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Prevention under Emergency Shelter Grants Program	0	0	84,500
Subtotal Homelessness Prevention	0	0	84,500

Table 25 – ESG Expenditures for Homelessness Prevention

11b. ESG Expenditures for Rapid Re-Housing

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Expenditures for Rental Assistance	0	0	0
Expenditures for Housing Relocation and Stabilization Services - Financial Assistance	0	0	0
Expenditures for Housing Relocation & Stabilization Services - Services	0	0	0
Expenditures for Homeless Assistance under Emergency Shelter Grants Program	0	0	6,810
Subtotal Rapid Re-Housing	0	0	6,810

Table 26 – ESG Expenditures for Rapid Re-Housing

11c. ESG Expenditures for Emergency Shelter

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Essential Services	0	0	0
Operations	0	0	0
Renovation	0	0	0

Major Rehab	0	0	0
Conversion	0	0	86,477
Subtotal	0	0	86,477

Table 27 – ESG Expenditures for Emergency Shelter

11d. Other Grant Expenditures

	Dollar Amount of Expenditures in Program Year		
	2014	2015	2016
Street Outreach	0	0	0
HMIS	0	0	0
Administration	0	0	19,876

Table 28 - Other Grant Expenditures

11e. Total ESG Grant Funds

Total ESG Funds Expended	2014	2015	2016
	0	0	197,663

Table 29 - Total ESG Funds Expended

11f. Match Source

	2014	2015	2016
Other Non-ESG HUD Funds	0	0	0
Other Federal Funds	0	0	24,103
State Government	0	0	0
Local Government	0	0	40,993
Private Funds	0	0	23,619

Other	0	0	96,367
Fees	0	0	0
Program Income	0	0	0
Total Match Amount	0	0	185,082

Table 30 - Other Funds Expended on Eligible ESG Activities

11g. Total

Total Amount of Funds Expended on ESG Activities	2014	2015	2016
	0	0	382,745

Table 31 - Total Amount of Funds Expended on ESG Activities

Attachment